

South Somerset District Council Audit Plan Progress 2012/13 - Qtr 2 Update

APPENDIX B

Audit Type	Audit Title	Quarter	Status	Opinion	No. of recs	Major - Recommendations - Minor				
						5	4	3	2	1
Follow-Up	Register of Interests - Members	Qtr 1	Complete	Non-Opinion	0	0	0	0	0	0
Governance	Boden Mill Site & Chard Regeneration Scheme Statement of Accounts	Qtr 1	Complete	Non-Opinion	0	0	0	0	0	0
Governance	Yeovil Crematorium and Cemetery Annual Return	Qtr 1	Complete	Non-Opinion	0	0	0	0	0	0
Governance	Data Security Breaches	Qtr 1	Review			0	0	0	0	0
Governance	Fraud and Corruption - Creditors	Qtr 1	Final	Reasonable	5	0	0	5	0	0
Key Income	Goldenstones	Qtr 1	Final	Reasonable	5	0	0	4	1	0
Operational	Yeovil Crematorium and Cemetery	Qtr 1	In Progress			0	0	0	0	0
Key Income	Workplace Nursery Income	Qtr 2	In Progress			0	0	0	0	0
Key Income	Plant Nursery Income	Qtr 2	Final	Reasonable	5	0	0	4	1	0
Operational	Debt Management	Qtr 2	Deferred			0	0	0	0	0
Operational	Energy Management	Qtr 2	Draft	Reasonable	4	0	0	4	0	0
Operational	Development Control	Qtr 2	Final	Reasonable	12	0	0	10	2	0
Key Income	Wincanton Sports Centre Income	Qtr 2	Draft	Reasonable	8	0	0	7	1	0
Governance	Non-compliance with EU rules	Qtr 2	Deferred			0	0	0	0	0
Governance	Fraud and Corruption - Contracts	Qtr 2	In Progress			0	0	0	0	0
Key Income	Licensing Income	Qtr 3				0	0	0	0	0
Follow-Up	Housing Benefit Fraud	Qtr 3				0	0	0	0	0
Governance	Treasury Management Strategies	Qtr 3				0	0	0	0	0
Governance	TEN Risk Management System	Qtr 3				0	0	0	0	0
Key Income	Homelessness Income	Qtr 3				0	0	0	0	0
Key Control, Finance	Capital Accounting	Qtr 3	Review			0	0	0	0	0
Key Control, Finance	Council Tax and NNDR	Qtr 3	In Progress			0	0	0	0	0
Key Control, Finance	Creditors	Qtr 3	In Progress			0	0	0	0	0
Key Control, Finance	Debtors	Qtr 3	In Progress			0	0	0	0	0
Key Control, Finance	Housing Benefit	Qtr 3	In Progress			0	0	0	0	0
Key Control, Finance	Main Accounting	Qtr3	In Progress			0	0	0	0	0
Key Control, Finance	Payroll	Qtr 3	In Progress			0	0	0	0	0
Key Control, Finance	Treasury Management	Qtr 3				0	0	0	0	0
Operational	Lean Process Key Control Post Review	Qtr 4				0	0	0	0	0
Follow-Up	Previous Quarter Partial Assurances	Qtr 4				0	0	0	0	0
Governance	Change Management	Qtr 4				0	0	0	0	0
Governance	Asset Management Planning	Qtr 4				0	0	0	0	0
Governance	Fraud and Corruption - Expenses	Qtr 4				0	0	0	0	0
Key Income	Car Parks Income	Qtr 4				0	0	0	0	0
Key Income	Octagon Theatre Income	Qtr 4				0	0	0	0	0
Key Income	Section 106 Agreements Income	Qtr 4				0	0	0	0	0
Key Income	Careline Income	Qtr 4				0	0	0	0	0
IT Audits	To be Agreed	Qtr 3,4				0	0	0	0	0